

[DRAFT]

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BY: _____

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Stephen A. Bove et al.	: Group Art Unit: 2164
		:
Appln. No.:	09/328,626	: Examiner: D. Felton
		:
Filed:	June 9, 1999	:
		:
For:	SYSTEM AND METHOD FOR AUTOMATING INVESTMENT PLANNING	: Attorney Docket No. 245-111
		:

AMENDMENT

This amendment is being filed in response to an Office Action mailed October 23, 2001, and is being filed within the first month after the shortened statutory period set for a response to the Office Action. A Petition for a one month extension of time accompanies this paper. An Amendment Cover Sheet is also accompanies this paper for payment of extra claims. If any additional fees are due, charge the fee to Deposit Account No. 50-1017 (Billing No. 160245.0045).

Please amend the above-identified application as follows, without prejudice:

In the Claims:

Add claims 59-62 as follows:

--59. (New) A process according to claim 1 further comprising:

(f) inputting into a computer data regarding the client's asset portfolio preferences, including current assets that the client wishes to hold or sell, wherein step (d) further includes using the data in step (f) to automatically generate the financial transaction recommendations.